Please provide the following information, and submit to the NOAA DM Plan Repository.

Reference to Master DM Plan (if applicable)

As stated in Section IV, Requirement 1.3, DM Plans may be hierarchical. If this DM Plan inherits provisions from a higher-level DM Plan already submitted to the Repository, then this more-specific Plan only needs to provide information that differs from what was provided in the Master DM Plan.

URL of higher-level DM Plan (if any) as submitted to DM Plan Repository:

1. General Description of Data to be Managed

1.1. Name of the Data, data collection Project, or data-producing Program:

Rhode Island, Connecticut, New York, and New Jersey ESI: BIRDS (Bird Polygons)

1.2. Summary description of the data:

This data set contains sensitive biological resource data for wading birds, shorebirds, waterfowl, raptors, diving birds, pelagic birds, passerine birds, gulls and terns in coastal Rhode Island, Connecticut, New York, and New Jersey. Vector polygons in this data set represent locations of bird nesting, foraging, and rafting sites. Species specific abundance, seasonality, status, life history, and source information are stored in relational data tables (described below) designed to be used in conjunction with this spatial data layer. This data set comprises a portion of the Environmental Sensitivity Index (ESI) data for Rhode Island, Connecticut, New York, and New Jersey. ESI data characterize the marine and coastal environments and wildlife by their sensitivity to spilled oil. The ESI data include information for three main components: shoreline habitats, sensitive biological resources, and human-use resources. See also the NESTS (Nest Points) data layer, part of the larger Rhode Island, Connecticut, New York, and New Jersey ESI database, for additional bird information.

1.3. Is this a one-time data collection, or an ongoing series of measurements?

One-time data collection

1.4. Actual or planned temporal coverage of the data:

1999 to 2001

1.5. Actual or planned geographic coverage of the data:

W: -74.538987, E: -71.090936, N: 41.8846228, S: 39.993476

1.6. Type(s) of data:

(e.g., digital numeric data, imagery, photographs, video, audio, database, tabular data, etc.) vector digital data

1.7. Data collection method(s):

(e.g., satellite, airplane, unmanned aerial system, radar, weather station, moored buoy, research vessel, autonomous underwater vehicle, animal tagging, manual surveys,

enforcement activities, numerical model, etc.)

1.8. If data are from a NOAA Observing System of Record, indicate name of system:

1.8.1. If data are from another observing system, please specify:

2. Point of Contact for this Data Management Plan (author or maintainer)

2.1. Name:

ESI Program Manager

2.2. Title:

Metadata Contact

2.3. Affiliation or facility:

2.4. E-mail address:

orr.esi@noaa.gov

2.5. Phone number:

3. Responsible Party for Data Management

Program Managers, or their designee, shall be responsible for assuring the proper management of the data produced by their Program. Please indicate the responsible party below.

3.1. Name:

ESI Program Manager

3.2. Title:

Data Steward

4. Resources

Programs must identify resources within their own budget for managing the data they produce.

- 4.1. Have resources for management of these data been identified?
- 4.2. Approximate percentage of the budget for these data devoted to data management (specify percentage or "unknown"):

5. Data Lineage and Quality

NOAA has issued Information Quality Guidelines for ensuring and maximizing the quality, objectivity, utility, and integrity of information which it disseminates.

5.1. Processing workflow of the data from collection or acquisition to making it publicly accessible

(describe or provide URL of description):

Process Steps:

- 2001-09-01 00:00:00 - Three main sources of data were used to depict bird distribution and seasonality for this data layer: 1) personal interviews with resource experts from Rhode Island Department of Environmental Management (RI DEM), Connecticut Department of Environmental Protection (CT DEP), New York State Department of Environmental Conservation (NYS DEC), New Jersey Department of Environmental Protection (NJ DEP), Rutgers University, U.S. Fish and Wildlife Service (USFWS), and University of Rhode Island (URI); 2) a 1992 Rhode Island Resource Protection Project (RIRPP) digital colonial waterbird nesting site coverage, a 1998 CT DEP colonial waterbird nesting site coverage, a 1999 CT DEP waterfowl coverage, 1999 and 2000 NJ DEP Natural Heritage Program coverages, and 1997 spring and 2000 mid-winter NJ DEP waterfowl coverages; and 3) various hardcopy maps, reports, and books provided or suggested by resource experts at the various state agencies. Information gathered during initial interviews and from hardcopy maps and reports was compiled onto U.S. Geological Survey 1:24,000 topographic quadrangles. Digital data was either used exactly as it was provided, or information from the coverages was transposed by hand onto the topographic quadrangles if the format that it was received in was not compatible with the ESI data format. Following the data compilation phase, two sets of completed maps were sent out to the resources agencies for review, and edits were made based on recommendations by the resource experts. Concentration information varied, and therefore for some species and locations, descriptive terms such as "very high," " high," "common," or "abundant" were used, while for others, numerical counts of nests or individuals were used. In many cases, concentration information was provided by resource experts. The 1998 CT DEP colonial waterbird nesting site coverage included counts of nests and nesting pairs at nests surveyed between 1972-1998. The 1997 and 2000 NJ DEP waterfowl data included counts of individual migrating/wintering waterfowl species that were surveyed during those two years within polygonal transects. The maximum count recorded for each species over multiple survey dates was used for all nesting sites and waterfowl transects as to err on the side of protection. In some cases, no quantitative abundance data were available.

- 5.1.1. If data at different stages of the workflow, or products derived from these data, are subject to a separate data management plan, provide reference to other plan:
- 5.2. Quality control procedures employed (describe or provide URL of description):
- 6. Data Documentation

The EDMC Data Documentation Procedural Directive requires that NOAA data be well documented, specifies the use of ISO 19115 and related standards for documentation of new data, and provides links to resources and tools for metadata creation and validation.

6.1. Does metadata comply with EDMC Data Documentation directive?

No

6.1.1. If metadata are non-existent or non-compliant, please explain:

Missing/invalid information:

- 1.7. Data collection method(s)
- 4.1. Have resources for management of these data been identified?
- 4.2. Approximate percentage of the budget for these data devoted to data management
- 5.2. Quality control procedures employed
- 7.1. Do these data comply with the Data Access directive?
- 7.1.1. If data are not available or has limitations, has a Waiver been filed?
- 7.1.2. If there are limitations to data access, describe how data are protected
- 7.2. Name of organization of facility providing data access
- 7.2.1. If data hosting service is needed, please indicate
- 7.4. Approximate delay between data collection and dissemination
- 8.1. Actual or planned long-term data archive location
- 8.3. Approximate delay between data collection and submission to an archive facility
- 8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

6.2. Name of organization or facility providing metadata hosting:

NMFS Office of Science and Technology

6.2.1. If service is needed for metadata hosting, please indicate:

6.3. URL of metadata folder or data catalog, if known:

https://www.fisheries.noaa.gov/inport/item/40544

6.4. Process for producing and maintaining metadata

(describe or provide URL of description):

Metadata produced and maintained in accordance with the NOAA Data Documentation Procedural Directive: https://nosc.noaa.gov/EDMC/DAARWG/docs/EDMC_PD-Data_Documentation_v1.pdf

7. Data Access

NAO 212-15 states that access to environmental data may only be restricted when distribution is explicitly limited by law, regulation, policy (such as those applicable to personally identifiable information or protected critical infrastructure information or proprietary trade information) or by security requirements. The EDMC Data Access Procedural Directive contains specific guidance, recommends the use of open-standard, interoperable, non-proprietary web services, provides

information about resources and tools to enable data access, and includes a Waiver to be submitted to justify any approach other than full, unrestricted public access.

- 7.1. Do these data comply with the Data Access directive?
 - 7.1.1. If the data are not to be made available to the public at all, or with limitations, has a Waiver (Appendix A of Data Access directive) been filed?
 - 7.1.2. If there are limitations to public data access, describe how data are protected from unauthorized access or disclosure:
- 7.2. Name of organization of facility providing data access:
 - 7.2.1. If data hosting service is needed, please indicate:
 - 7.2.2. URL of data access service, if known:
- 7.3. Data access methods or services offered:

Contact NOAA for distribution options (see Distributor). ESI data are processed into multiple formats to make them useful to a wider community of GIS/mapping users. Distribution formats include ARC export, MOSS and Shape files, and MARPLOT map folders. An ArcView ESI project and ESI_Viewer product are also included on the distribution CDs for ease of use of the ESI data. The database files are distributed both in the NOAA standard relational database format (see NOAA Technical Memorandum NOS ORCA 115) and in a simplified desktop flat file format. This metadata document includes information on both of these database formats.;

- 7.4. Approximate delay between data collection and dissemination:
 - 7.4.1. If delay is longer than latency of automated processing, indicate under what authority data access is delayed:

8. Data Preservation and Protection

The NOAA Procedure for Scientific Records Appraisal and Archive Approval describes how to identify, appraise and decide what scientific records are to be preserved in a NOAA archive.

8.1. Actual or planned long-term data archive location:

(Specify NCEI-MD, NCEI-CO, NCEI-NC, NCEI-MS, World Data Center (WDC) facility, Other, To Be Determined, Unable to Archive, or No Archiving Intended)

- 8.1.1. If World Data Center or Other, specify:
- 8.1.2. If To Be Determined, Unable to Archive or No Archiving Intended, explain:
- **8.2.** Data storage facility prior to being sent to an archive facility (if any): Office of Response and Restoration Silver Spring, MD
- 8.3. Approximate delay between data collection and submission to an archive facility:
- 8.4. How will the data be protected from accidental or malicious modification or deletion prior to receipt by the archive?

Discuss data back-up, disaster recovery/contingency planning, and off-site data storage relevant to the data collection

9. Additional Line Office or Staff Office Questions

Line and Staff Offices may extend this template by inserting additional questions in this section.